

**National Stock Exchange of India Limited**

<b>RTA Registration - List of documents required for registering on NSE - EBP</b>	
<b>S. No</b>	<b>Particulars</b>
1	Copy of PAN Card
2	SEBI Registration Certificate
3	Application from the company (As per Annexure I)

**Note :-**

1. Once the Registration is complete, Exchange will verify the details and provide Entity Code, User ID and Password to access EBP platform

## Annexure I

[To be submitted on the letter head of the applicant]

Date: \_\_\_\_\_

To,  
The National Stock Exchange of India Limited,  
Exchange Plaza, Bandra Kurla Complex,  
Bandra East, Mumbai – 400051, India.

**Subject:** Application for registration to access the Electronic Bidding Portal of National Stock Exchange of India Limited (“NSEIL”) for private placement of debt securities

I/We, \_\_\_\_\_, am/are an authorized Registrar(s) to an Issue, wish to register to access the Electronic Bidding Portal (hereinafter referred to as the “**Platform**”), provided by NSEIL, to reconcile the information provided by the escrow bank of an issuer and the Platform for the Private Placements of Debt Securities, as under SEBI Circular SEBI/HO/DDHS/CIR/P/2018/122, dated August 16, 2018.

1. Name of the Company \_\_\_\_\_  
(RTA):
2. PAN Card details \_\_\_\_\_
3. SEBI Registration No. \_\_\_\_\_
4. **Details of Platform Admin**  
Name \_\_\_\_\_  
Landline \_\_\_\_\_  
Mobile \_\_\_\_\_  
E-mail ID \_\_\_\_\_
5. Company Address \_\_\_\_\_

In order to satisfy the requirements for such application as specified by NSEIL, we hereby represent and warrant as follows:

1. That we are duly eligible, authorized and empowered to access and utilize the Platform and does not conflict with or violate any provision of law, regulation, guidelines, policy, contract or any other instrument to which we are a party or by which we are bound.
2. That we shall execute, sign, and subscribe, to such documents, papers, agreements, covenants, bonds and/or undertakings as may be reasonably required under law from time to time in relation to our participation on the Platform.
3. That we understand that our participation shall be subject to and shall be bound by the ‘Terms and Conditions’ and ‘Operating Guidelines’ (as may be amended from time to time) as provided on the website hosting the Platform, at all times, as applicable.
4. That we understand that our participation shall be subject to and shall be bound by the conditions specified in the concerned SEBI circulars/ rules/ guidelines and other legislations that are pertaining to the Private Placement on the Platform.
5. That all the information/ details stated above or/ and made in connection with this application are true and correct, and shall be used by NSEIL for the purpose of participation on the Platform or otherwise, subject to the Terms & Conditions governing the Platform.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Name: \_\_\_\_\_ Designation: \_\_\_\_\_

6. That the Platform is provided on 'AS IS' basis and NSEIL does not warrants that the platform/ system of the platform shall be error free. NSEIL will be acting based on the information provided by us and it is our responsibility to provide correct information to NSEIL. We acknowledge that NSEIL / NSCCL will not be responsible or liable for any loss, claim, liability that may arise on account of any incorrect / erroneous data / information provided by us.

I, the undersigned, represent that I have been duly authorized by the Applicant to execute our participation on the Platform as its authorized representative in terms of the resolution of the Board of Directors.

On behalf of \_\_\_\_\_

\_\_\_\_\_  
Authorized Signatory

Name:

Designation:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Name: \_\_\_\_\_ Designation: \_\_\_\_\_