

## National Stock Exchange of India Limited

<b>Investor Registration - List of documents required for registering on NSE - EBP</b>	
<b>S. No</b>	<b>Particulars</b>
1	Copy of PAN Card
2	Proof of the Bank Account details. Below documents are accepted for verification purpose <ul style="list-style-type: none"> <li>- Bank Account Statement</li> <li>- Cancelled Cheque</li> <li>- Letter from Bank containing all the details (To be issued on letterhead of bank)</li> </ul> Note: Proof submitted should mandatorily contain below details for verification. Account Holder Name, Account Number, Account Type, IFSC Code and Bank Name
3	Proof of DP Account details. <ul style="list-style-type: none"> <li>- Demat Account Statement/Client Master List</li> </ul>
4	Application from the company (As per Annexure I)

<b>Additional documents applicable for the below entities</b>	
<b>Provident Fund/ Pension Fund/ EPFO/ NPS</b>	Need to have minimum corpus of INR 25 crore to get the QIB status defined under Regulation 2 (zd) of the SEBI. In case the PF/pension fund is a QIB, they need to provide the corpus letter either stamped by auditor on investor letter head/ on its own letter head stating the corpus is more than Rs 25 crores (Latest F.Y.). Also, For NPS we need the POA given to the fund manager for managing the funds.
<b>Systematically Important NBFC</b>	Certificate indicating total assets more than INR 500 crore to get a QIB status defined under Regulation 2 (zd) of the SEBI and RBI registration certificate
<b>FPI</b>	FPI Registration Certificate from SEBI stating category 1 and 2 only
<b>MF/VCF/AIF/FVC</b>	SEBI registration certificate
<b>Schedule Commercial Bank (SCB)</b>	RBI confirmation/registration certificate as SCB
<b>Insurance Company</b>	Company Registration certificate from IRDA
<b>Public Financial Institution</b>	Networth certificate of Rs.1000 crs and above having business in industrial/infrastructural financing

**Note :-**

1. Once the Registration is complete, Exchange will verify the details and provide Entity Code, User ID and Password to access EBP platform
2. Investor can also introduce/add Scheme/Sub Account in the system. After the introduction of Scheme/Sub Account the participants are requested to provide Bank/DP details for Exchange approval.

## Annexure I

[To be submitted on the letter head of the applicant]

Date: \_\_\_\_\_

To,

National Stock Exchange of India  
Limited Exchange Plaza, Bandra-  
Kurla Complex, Bandra (East),  
Mumbai - 400051

**Subject:** Application for registration to use the electronic bidding portal of National Stock Exchange of India Limited ("NSEIL") for private placement of debt securities

We, \_\_\_\_\_ (the "Applicant")

A qualified institutional buyer as defined under Regulation 2 (zd) of the SEBI (Issue of Capital and Disclosure Requirement) Regulations, 2009

Or

A non-qualified institutional buyer,

and having our registered office at \_\_\_\_\_, wish to register to access the Electronic Bidding Portal of NSEIL (hereinafter referred to as the "Platform") to participate only as proprietary bidders in Private Placements of Debt Securities by issuers on the Platform. Details regarding the Applicant are as follows:

1. **Investor category:**  AIF  
 EPFO  
 FPI (Category I & II)  
 Mutual Fund  
 Insurance Company  
 NBFC  
 Provident Fund  
 Pension Fund  
 Any Other (mention) \_\_\_\_\_

2. Company PAN \_\_\_\_\_

3. Details of Platform Admin:

Name \_\_\_\_\_

Landline \_\_\_\_\_

Mobile \_\_\_\_\_

E-mail ID \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Name: \_\_\_\_\_ Designation: \_\_\_\_\_

4. FPI registration number \_\_\_\_\_
5. Name of Custodian, if any \_\_\_\_\_
6. Bank Details
- Name of Bank \_\_\_\_\_
- Account Number \_\_\_\_\_
- Branch Name \_\_\_\_\_
- IFSC Code \_\_\_\_\_
7. DP Details
- Name of Depository \_\_\_\_\_
- Demat number \_\_\_\_\_
8. Scheme Details, if any
- Name of Scheme \_\_\_\_\_
- Bank Details \_\_\_\_\_
- DP Details \_\_\_\_\_

In order to satisfy the requirements for such application as specified by NSEIL, we hereby represent and warrant as follows:

1. That we are duly eligible, authorized and empowered to participate and utilize the Platform and does not conflict with or violate any provision of law, regulation, guidelines, policy, contract or any other instrument to which we are a party or by which we are bound.
2. That we shall execute, sign, and subscribe, to such documents, papers, agreements, covenants, bonds and/or undertakings as may be reasonably required by NSEIL from time to time in relation to our participation on the Platform.
3. That we understand that our participation will be subject to and shall be bound by the 'Terms and Conditions' (as may be amended from time to time) as provided on the website hosting the Platform, at all times, as applicable.
4. That all the information/ details stated above or/ and made in connection with this application are true and correct, and shall be used by NSEIL for the purpose of participation on the Platform or otherwise, subject to the Terms & Conditions governing the Platform.

I, the undersigned, represent and undertake that I have been duly authorized by the Applicant to execute our participation on the Platform as its authorized representative in terms of the resolution of the Board of Directors.

On behalf of \_\_\_\_\_

\_\_\_\_\_  
Authorized Signatory

Name:

Designation:

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Name: \_\_\_\_\_ Designation: \_\_\_\_\_